



## OFFICE OF THE TREASURER-TAX COLLECTOR

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### AGENDA

#### REGULAR MEETING OF THE TREASURY OVERSIGHT COMMITTEE

**Thursday, November 4, 2010, 3:00 PM**

Auditor/Controller's Office  
12 Civic Center Plaza, 3<sup>rd</sup> Floor, Santa Ana  
Conference Room# 300

1. CALL TO ORDER
2. WELCOME AND SELF INTRODUCTIONS
3. PUBLIC COMMENTS  
At this time members of the public may address the Committee regarding any item within the subject matter jurisdiction of the Committee provided that **NO** action may be taken on off-agenda items unless authorized by law.
4. APPROVAL OF THE JULY 28 MEETING MINUTES  
**Recommended Action:**  
*Approve Minutes*
5. CHAIRMAN'S REPORT
6. CFO'S REPORT
  - a. Update on Serpentine Sale and allocation of realized loss
  - b. Update on Northern Trust custody bank implementation
  - c. Update on 2009 Internal Audit Compliance audit status
7. RECEIVE & FILE TREASURER'S MONTHLY MANAGEMENT REPORTS FOR JULY 2010 THROUGH SEPTEMBER 2010
8. ANNUAL APPROVAL OF INVESTMENT POLICY STATEMENT (IPS) – UNCHANGED FROM 2010 IPS APPROVED 12-15-09

**Recommended Action:**

*Approve Investment Policy Statement and direct staff to submit an agenda item for Board of Supervisor approval on or before December 21, 2010 meeting.*

*T.O.C. Meeting Agenda cont.*

9. PUBLIC COMMENTS

At this time members of the public may address the Committee regarding any item within the subject matter jurisdiction of the Committee provided that **NO** action may be taken on off-agenda items unless authorized by law.

10. SCHEDULE NEXT MEETING DATE

**Recommended Action:**

*Schedule Meeting date for Wednesday, January 26, 2011 at 3:00 p.m., Auditor/Controller's Conference Room 300, 12 Civic Center Plaza, Santa Ana, California.*

11. ADJOURNMENT